AADL and Model-based Engineering

Software Engineering Institute Carnegie Mellon University Pittsburgh, PA 15213

Peter H. Feiler Oct 20, 2014

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Outline

Challenges in Safety-critical Software-intensive systems
An Architecture-centric Virtual Integration Strategy with SAE AADL
Improving the Quality of Requirements
Architecture Fault Modeling and Safety
Incremental Life-cycle Assurance of Systems
Summary and Conclusion

We Rely on Software for Safe Aircraft Operation

Quantas Landing

VVritten by **htbv** From: **soyawan** Even with the autopilot off, flight control computers still ``command control surfaces to protect the aircraft from unsafe conditions such as a stall," the investigators said.



The unit continued to send false stall and speed warnings to the aircraft's primary computer and about 2 minutes after the initial fault ``generated very high, random and incorrect values for the aircraft's angle of attack."

mayday call when it suddenly changed altitude during a flight

from Singapore to Perth, Qantas said.

Embedded software systems introduce a new class of problems not addressed by traditional system modeling & analysis

lunge

wide
irways
causing the

jet to nosedive.

was cruising at 37,000 feet (11,277 meters) when the computer fed incorrect information to the flight control system, the **Australian Transport Safety Bureau** said yesterday. The aircraft dropped 650 feet within seconds, slamming passengers and crew into the cabin ceiling, before the pilets reqained control.

``This appears to be a unique event," the bureau aid, adding that

fitted with the same air-data computer. The advisory is ``aimed at minimizing the risk in the unlikely event of a similar occurrence."

Autopilot Off

A ``preliminary analysis" of the Qantas plunge showed the error occurred in one of the jet's three air data inertial reference units, which caused the autopilot to disconnect, the ATSB said in a statement on its Web site.

The crew flew the aircraft manually to the end of the flight, except for a period of a few seconds, the bureau said.

Even with the autopilot off, flight control computers still ``command control surfaces to protect the aircraft from unsafe conditions such as a stall," the investigators said.

The unit continued to send false stall and speed warnings to the aircraft's primary computer and about 2 minutes after the initial fault ``generated very high, random and incorrect values for the aircraft's angle of attack."

The flight control computer then commanded a "nose-down aircraft movement, which resulted in the aircraft pitching down to a maximum of about 8.5 degrees," it said.

No `Similar Event'

``Airbus has advised that it is not aware of any similar event over the many years of operation of the Airbus," the bureau added, saying it will continue investigating.

Software Problems not just in Aircraft



ConsumerReports.org*

May 7, 2010

Lexus GX 460 passes retest; Consumer Reports lifts "Don't Buy"

label

Consumer Reports is lifting the Don't Buy: Safety Risk designation from the 2010 Lexus GX 460 SUV after recall work corrected the problem it displayed in one of our emergency handling tests. (See the original report and video: "Don't Buy: Safety Risk--2010 Lexus GX 460.")

We originally experienced the problem in a test that we use to evaluate what's called lift-off oversteer. In this test, as the vehicle is driven through a turn, the driver quickly lifts his foot off the accelerator pedal to see how the vehicle reacts. When we did this with our GX 460, its rear end slid out until the vehicle was almost sideways. Although the GX 460 has electronic stability control, which is designed to prevent a vehicle from sliding the system wasn't intervening quickly.



Many appliances now rely on electronic controls and operating softw. May 2010 Consumer Reports Magazine.

3ut it turned out to be a problem for the Kenmore 4027 front-loader, which scored near the bottom in our February 2010 report.

Our tests found that the rinse cycles on some models worked improperly, resulting in an unimpressive cleaning.

When Sears, which sells the washer, saw our February 2010 Ratings (available to subscribers), it worked with LG, which makes the washer, to figure out what was wrong. They quickly determined that a software problem was causing short or missing rinse and wash cycles, affecting wash performance. Sears and LG say they have reprogrammed the software on the models in their warehouses and on about 65 percent of the washers already sold, including the ones we had purchased.

Our retests of the reprogrammed Kenmore 4027 found that the cycles now worked properly, and the machine excelled. It now tops our Ratings (available to subscribers) of more than 50 front-loaders and we've made it a CR Best Buy.

If you own the washer, or a related model such as the Kenmore 4044 or Kenmore Elite 4051 or 4219, you should get a letter from Sears for a free service call. Or you can call 800-733-2299.

enough to stop the slide. We consider this a safety risk because in a real-world situation this could cause a rear tire to strike a curb or slide off of the pavement, possibly causing the vehicle to roll over. Tall vehicles with a high center of gravity, such as the GX 460, heighten our concern. We are not aware, however, of any reports of injury related to this problem.

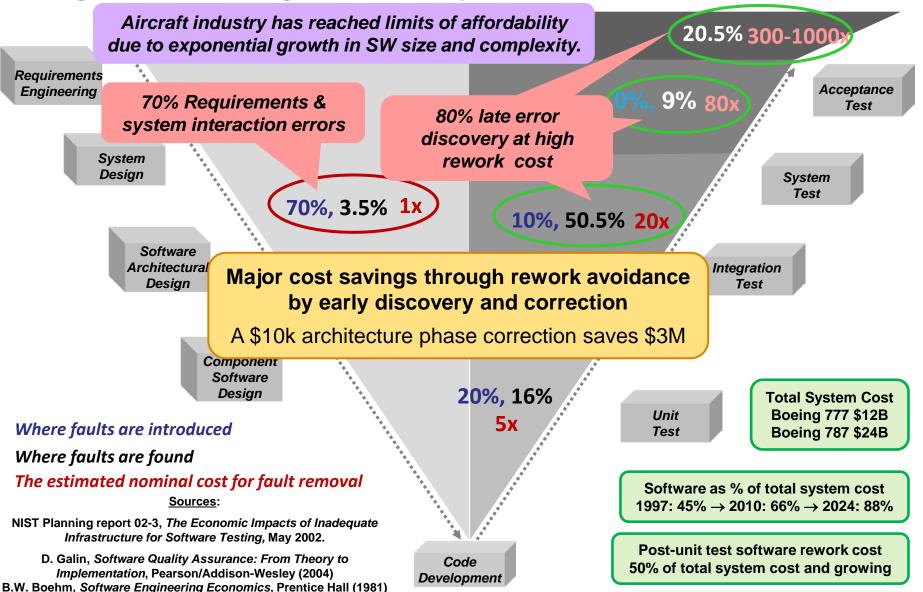
Lexus recently duplicated the problem on its own test track and developed a software upgrade for the vehicle's ESC system that would prevent the problem from happening. Dealers received the software fix last week and began notifying GX 460 owners to bring their vehicles in for repair.

We contacted the Lexus dealership from which we had anonymously bought the vehicle and made an appointment to have the recall work performed. The work took about an hour and a half.

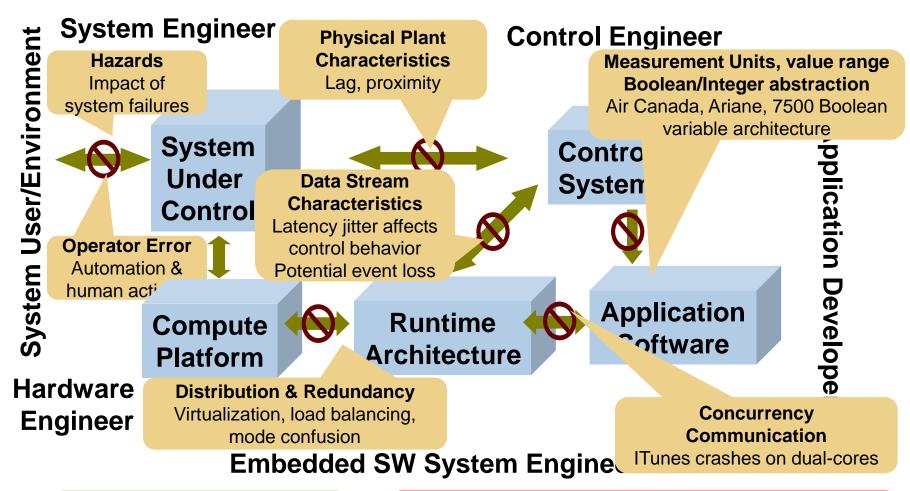
Following that, we again put the SUV through our full series of emergency handling tests. This time, the ESC system intervened earlier and its rear did not slide out in the lift-off oversteer test. Instead, the vehicle understeered—or plowed—when it exceeded its limits of traction, which is a more common result and makes the vehicle more predictable and less likely to roll over. Overall, we did not experience any safety concerns with the corrected GX 460 in our handling tests.

How do you upgrade washing machine software?

High Fault Leakage Drives Major Increase in Rework Cost



Mismatched Assumptions in System Interactions



Embedded software system as major source of hazards

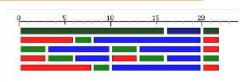
Why do system level failures still occur despite fault tolerance techniques being deployed in systems?

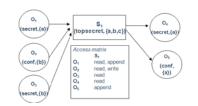
Model-based Engineering Pitfalls



The system

Inconsistency between independently developed analytical models





System models

Confidence that model reflects implementation



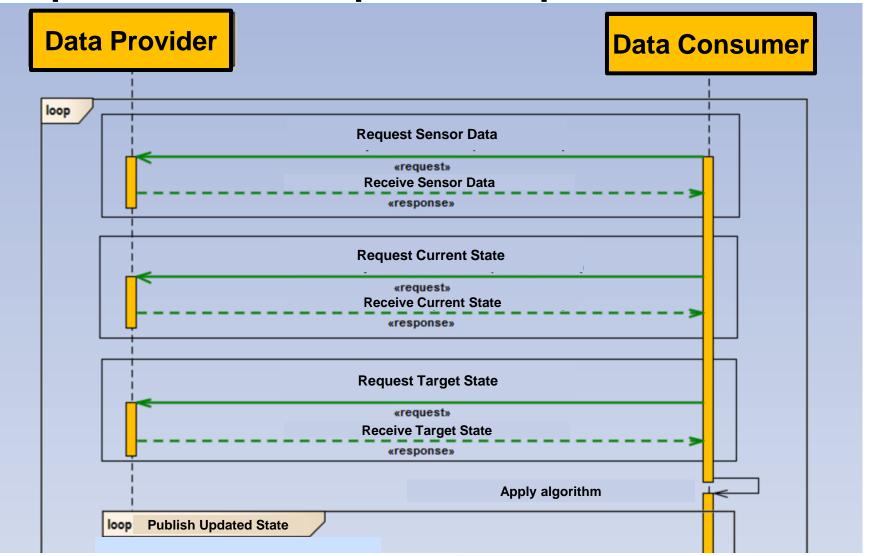
System implementation

This aircraft industry experience has led to the System Architecture Virtual Integration (SAVI) initiative

Why UML, SysML Are Not Sufficient

- System engineering
 - Focus on system architecture and operational environment
 - SysML developed to capture interactions with outside world, as a standardized UML profile
 - 4 pillars/diagrams: requirements, parameterics (added in SysML), structure, behavior
- Conceptual architecture
 - UML-based component model
 - Architecture views (DoDAF, IEEE 1471)
 - Platform Independent model (PIM)
- Embedded software system engineering
 - OMG Modeling and Analysis of Real Time Embedded systems (MARTE) as UML profile
 - Borrowed Meta model concepts from AADL
 - Focus on modeling implementations
 - xUML insufficient for PSM (Kennedy-Carter, NATO ALWI study)

Impact of Three Step Data Request Protocol



Operating as ARINC653 Partitioned System

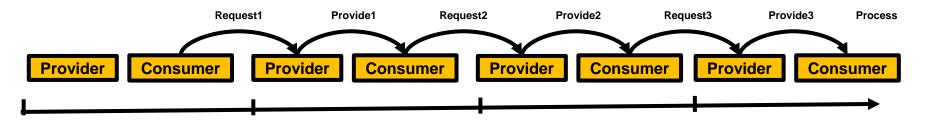
Data Consumer Requirement

Process data in 1 second

Partitions

- Provide space and time boundary enforcement
- Execute periodically on a static timeline at 1 second rate

Data request protocols across partitions



How much time does consumer actually have to process the data?

Who pays for the communication overhead?

Model-based Engineering in Practice

Modeling is used in practice

 Modeling, analysis, and simulation in mechanical, control, computer hardware engineering

Current practice: modeling and software

- Remember software through pictures
- MDE and MDA with UML
- Automatically generated documents

We need language for architecture modeling

- Strongly typed
- Well-defined execution and communication timing semantics
- Systematic approach to dealing with exceptional conditions
- Support for large-scale development

Outline

- Challenges in Safety-critical Software-intensive systems
- An Architecture-centric Virtual Integration Strategy with SAE AADL
 - Improving the Quality of Requirements
 - Architecture Fault Modeling and Safety
 - Incremental Life-cycle Assurance of Systems
 - **Summary and Conclusion**

The Roots of AADL

1990-1998: MetaH and Control-H by Steve Vestal

- Strong typing, syntax borrowed from Ada
- Data and event ports, Operational modes
- Scheduling analysis and code generation

1994: Application to Missile Guidance System by Vestal and Lewis

Three Week Port to Dual Processor Hardware

1997: MetaH Style for ACME by Peter Feiler and Jun Li

CMU ECE Ph.D. on multi-dimensional analysis for Simplex architectures

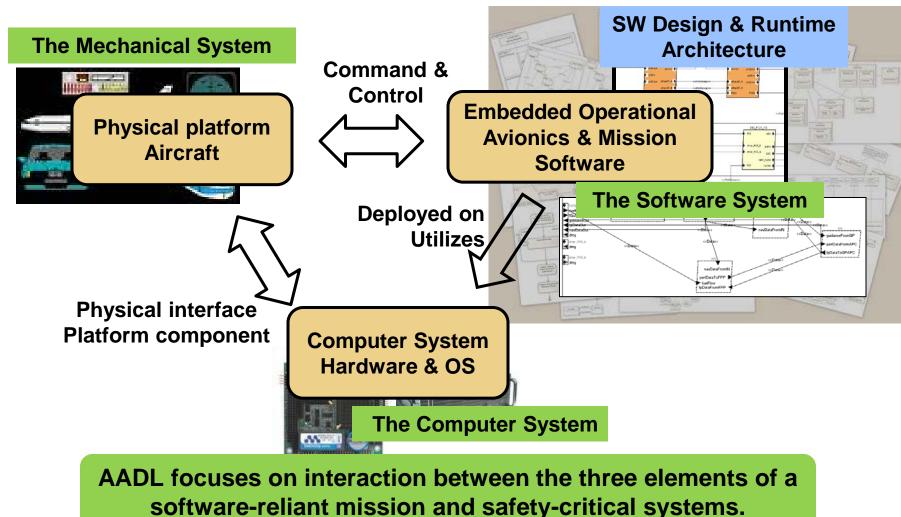
1998: Error Model added to MetaH by Steve Vestal

Generation of fault trees and Markov models

1999: Requirements Document for AADL Standard

- Industry input: packages, messages
- The best of MetaH and ACME

SAE Architecture Analysis & Design Language (AADL) for Software-reliant Systems



The SAE AADL Standard Suite (AS-5506 series)

Core AADL language standard (V2.1-Sep 2012, V1-Nov 2004)

- Strongly typed language with well-defined semantics
- Textual and graphical notation
- Standardized XMI interchange format

Standardized AADL Extensions

Error Model language for safety, reliability, security analysis
ARINC653 extension for partitioned architectures
Behavior Specification Language for modes and interaction behavior
Data Modeling extension for interfacing with data models (UML, ASN.1, ...)

AADL Annex Extensions in Progress

Requirements Definition and Assurance Annex
Synchronous System Specification Annex
Hybrid System Specification Annex
System Constraint Specification Annex
Network Specification Annex

System Level Fault Root Causes

Violation of data stream assumptions

End-to-end latency analysis Port connection consistency

Stream miss rates, Mismatched data representation, Latency jitter & age

Partitions as Isolation Regions

- Space, time, and bandwidth partitioning
- Isolation not guaranteed due to undocumented resource sharing
- fault containment, security levels, safety levels, distribution

Virtualization of time & resources

- Logical vs. physical redundancy
- Time stamping of data & asynchronous systems

Inconsistent System States & Interactions

- Modal systems with modal components
- Concurrency & redundancy management
- Application level interaction protocols

Performance impedance mismatches

- Processor, memory & network resources
- Compositional & replacement performance mismatches
- Unmanaged computer system resources

Process and virtual processor to model partitioned architectures

Virtual processors & buses Multiple time domains

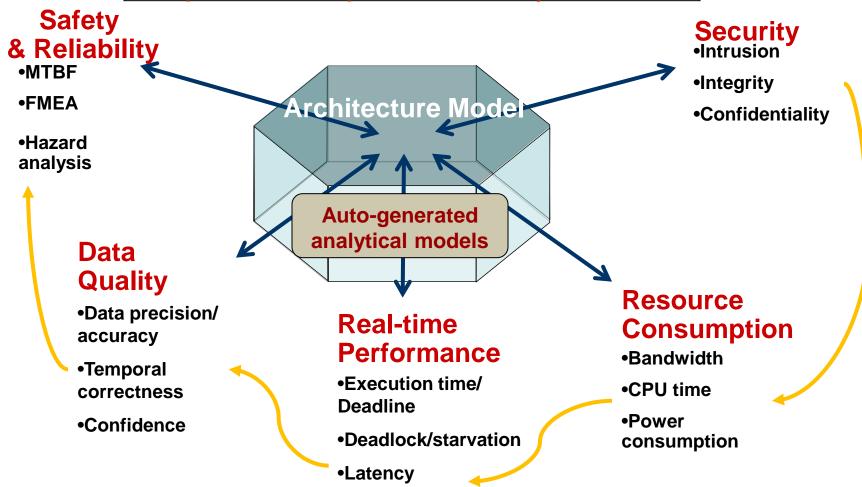
Operational and failure modes
Interaction behavior specification
Dynamic reconfiguration
Fault detection, isolation, recovery

Resource allocation & deployment configurations Resource budget analysis & scheduling analysis

Codified in Virtual Upgrade Validation method

Architecture-Centric Quality Attribute Analysis

Single Annotated Architecture Model Addresses Impact Across Operational Quality Attributes



Multi-Fidelity End-to-end Latency in Control Systems

Operational Environment

System Engineer

Control Engineer







Common latency data from system engineering

- Processing latency
- Sampling latency
- Physical signal latency

Impact of Scheduler Choice on Controller Stability

A. Cervin, Lund U., CCACSD 2006

Software-Based Latency Contributors

Execution time variation: algorithm, use of cache

Processor speed

Resource contention

Preemption

Legacy & shared variable communication

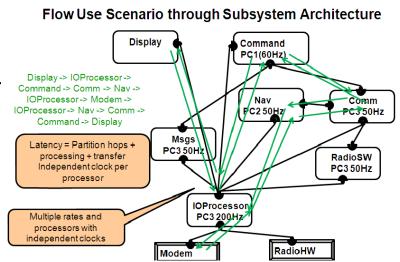
Rate group optimization

Protocol specific communication delay

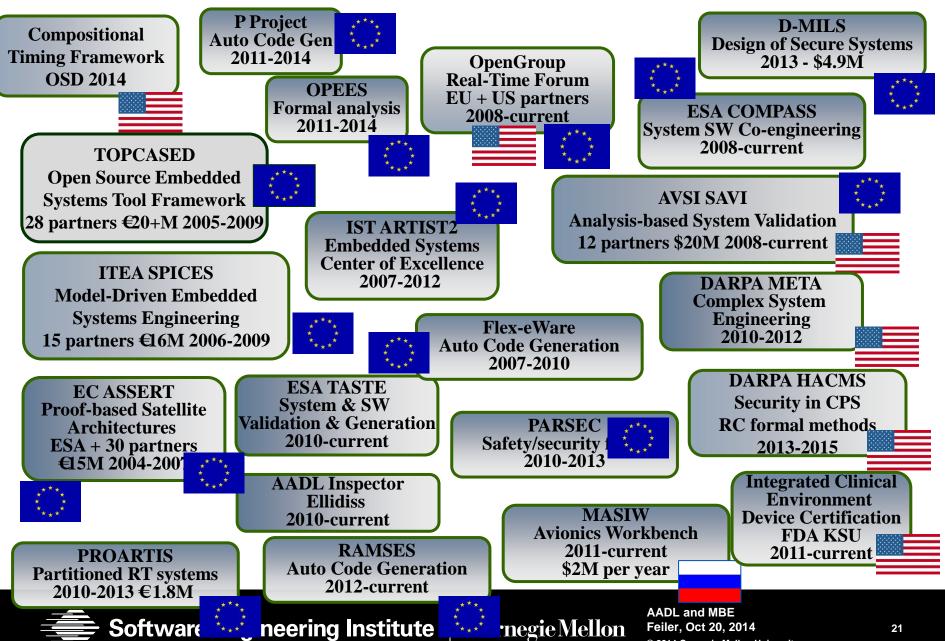
Partitioned architecture

Migration of functionality

Fault tolerance strategy



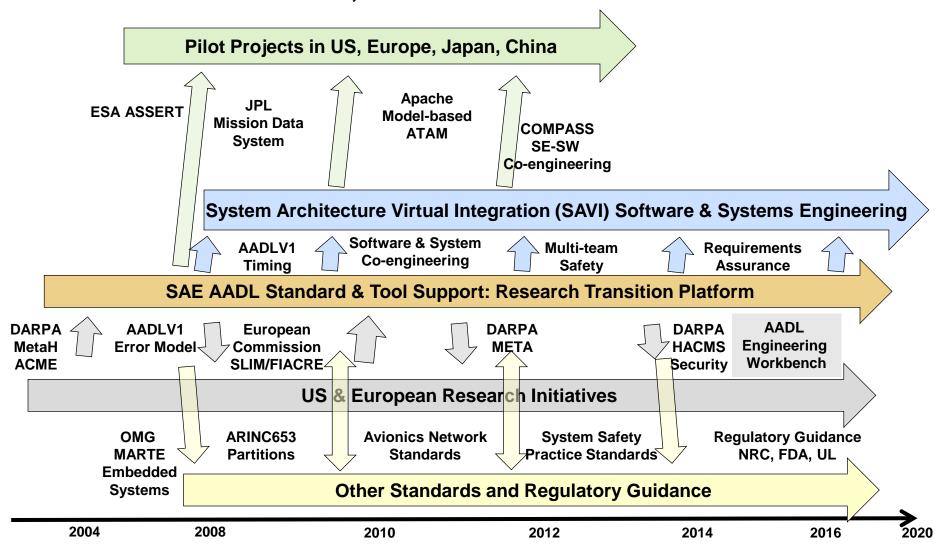
Sampling of International Efforts Leveraging SAE AADL



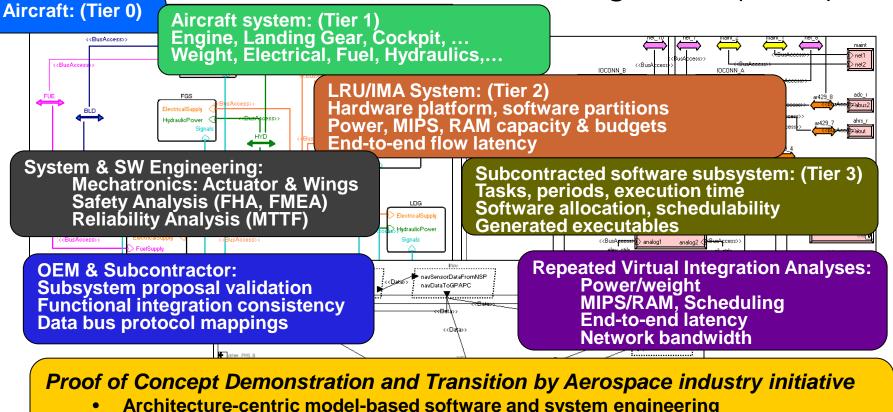
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Architecture-centric Virtual System Integration

Evolution, Maturation and Transition



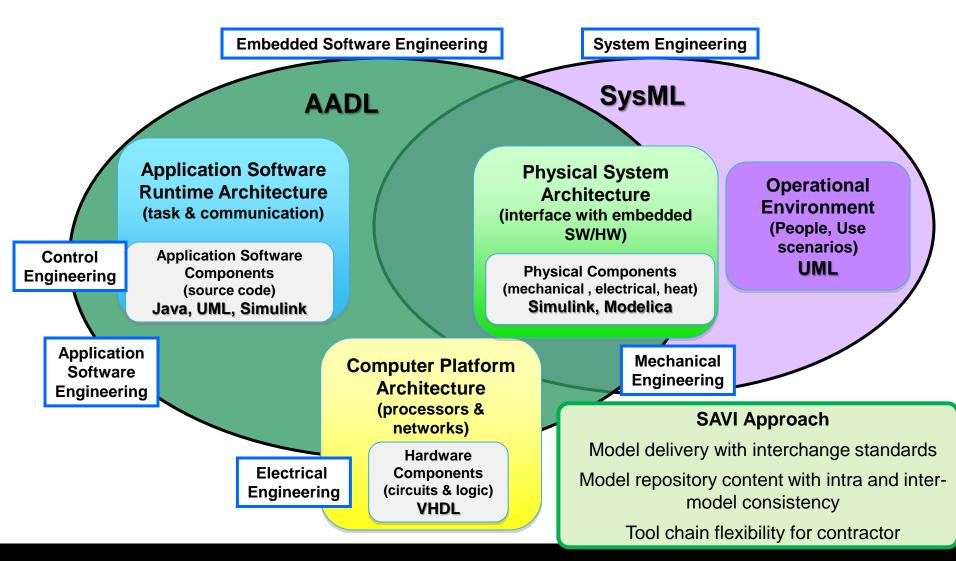
Early Discovery and Incremental V&V through System Architecture Virtual Integration (SAVI)



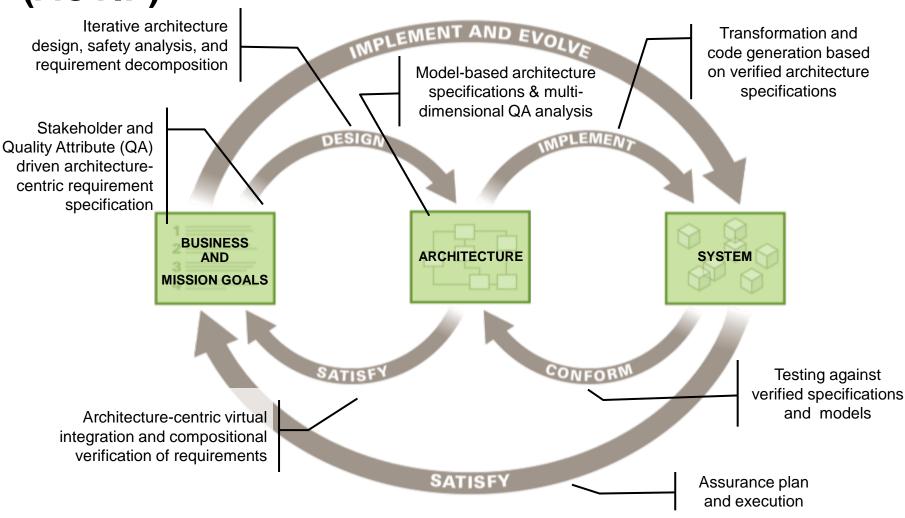
- Architecture-centric model-based software and system engineering
- Architecture-centric model-based acquisition and development process
- Multi notation, multi team model repository & standardized model interchange
- Multi-tier system & software architecture (in AADL)
- Incremental end-to-end validation of system properties



Multi-Notation Approach to Architecture-centric Virtual System and Software Integration



Architecture-centric Virtual Integration Practice (ACVIP)



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Certification & Recertification Challenges

Certification: assure the quality of the delivered system

- <u>Sufficient evidence</u> that a <u>system implementation</u> meets <u>system requirements</u>
- Quality of requirements and quality of evidence determines quality of system

Certification related rework cost

Currently 50% of total system cost and growing

Recertification Challenge

• Desired cost of recertification in proportion to change

Improve quality of requirements and evidence

Perform verification compositionally throughout the life cycle

Current Industry Practice in DO-178B Compliant

Requirements Capture

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industry Surve	y in 2009 FAA	Requirements E	ngineering Study

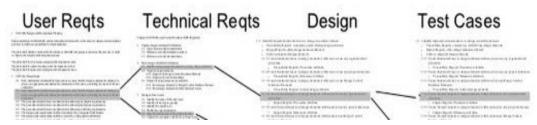
Notation Enter an "x" in every row/column cell that applies	System Requirements	Data Interconnect {ICD}	High-Level Software Requirem	Low-Level Software Requirement	Hardware Requirements
English Text or Shall Statements	39	27	36	32	29
Tables and Diagrams	31	30	30	19	18
UML Use Cases	1		2	4	
UML Sequence Diagrams			3	6	
UML State Diagrams			1	7	
Executable Models (e.g. Simulink, SCADE Suite, etc.)	7	1	8	8	1
Data Flow Diagrams (e.g. Yourdon)	4		6	9	
Need analyzable & executable specifications					
Other (Specify)XML		1			
Operational models or prototypes	1	1			1
UML			1	1	

	ater an "x" in every row/column cell that plies	System Requirements	Data Interconnect {ICD}	High-Level Software Requirement	Low-Level Software Requirements	Hardware Requirements
Da	ntabase (e.g., Microsoft Access)	3	4	3	T ~	Щ
	OORS	23	13	22	18	12
Ra	ational ROSE®			1	3	
RI	DD-100 [®]					
Re	equisite Pro®	5	3	5	4	4
Rl	napsody	1				
SC	CADE Suite	2		3	1	
Si	mulink	5	1	5	3	1
Sl	ate	1		1	1	
Sp	readsheet (e.g., Microsoft Excel)	5	4	5	4	3
	atemate					
W	ord Processor (e.g., Microsoft Word)	19	20	18	17	16
V	APSTM		1	3	3	
De	esigner's Workbench™			1	1	
Pr	oprietary Database, SCADE like pic tool		_ 1	1		
In	terleaf	1	1	1	1	1
BI	EACON	1	1	1	1	
Ca	liberRM	1	1	1	1	1
XI			1			
W	iring diagram		1			1

Requirement Quality Challenge

Requirements error	%		
Incomplete	21%		
Missing	33%		
Incorrect	24%		
Ambiguous	6%		
Inconsistent	5%		

There is more to requirements quality than "shall"s and stakeholder traceability IEEE 830-1998 Recommended Practice for SW Requirements Specification



Browsable links/Coverage metrics

IEEE Std 830-1998 characteristics of a good requirements specification:

- Correct
- Unambiguous
- Complete
- Consistent
- Ranked for importance and/or stability
- Verifiable
- Modifiable
- Traceable

System to SW requirements gap [Boehm 2006]

How do we verify low level SW requirements against system requirements?

When StartUpComplete is TRUE in both FADECs and SlowStartupComplete is FALSE, the FADECStartupSW shall set SlowStartupInComplete to TRUE

Mixture of Requirements & Architecture Design Constraints

Requirements for a Patient Therapy System

The patient shall never be infused with a single air bubble more than 5ml volume.

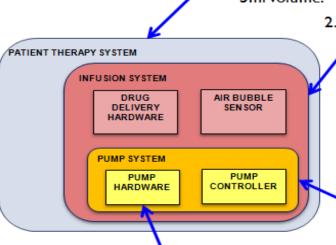
When a single air bubble more than 5ml volume is detected, the system shall stop infusion within 0.2 seconds.

When piston stop is received, the **system** shall stop piston movement within 0.01 seconds.

The system shall always stop the piston at the bottom or top of the chamber.

Requirements and Design Information

 The patient shall never be infused with a single air bubble more than 5ml volume.



When a single air bubble more than 5ml volume is detected, the **system** shall stop infusion within 0.2 seconds.

- The system shall always stop the piston at the bottom or top of the chamber.
- When piston stop is received, the system shall stop piston movement within 0.01 seconds.

Typical requirement documents span multiple levels of a system architecture

We have made architecture design decisions.

We have effectively specified a partial architecture

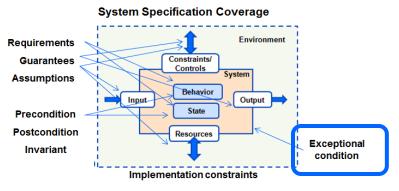
Adapted from M. Whalen presentation

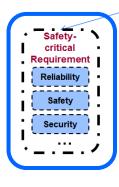
System Specification and Requirements Coverage Quality attribute utility tree **Developmental** Requirements Reduce storage latency on customer DB to < 200 ms. Deliver video in real time Transaction **Modifiability** Throughput Add CORBA middleware in < 20 person-months. Change Web user interface COTS Assurability Power outage at site1 requires traffic Utility redirected to site2 in < 3 seconds. Availability Network failure detected and recovered COTSS/W failures credit card transactions are secure Data ___ 99.999% of the time. **Environmental Assumptions** Customer DB authorization works 99.999% of the time. Data Requirements Environment **Mission Dependability** Guarantees Constraints/ Requirements Requirements **Assumptions** Controls System **Function** Reliability Behavior Input Output Safety **Behavior** State Precondition **Postcondition** Performance i Security Resources Invariant **Exceptional condition** Implementation constraints Interaction contract: match input assumption



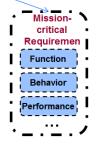
with guarantee

Architecture-led Requirement & Hazard Specification

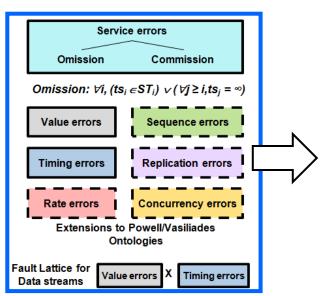


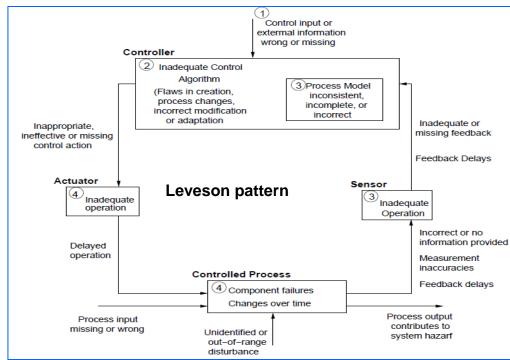


Carnegie Mellon



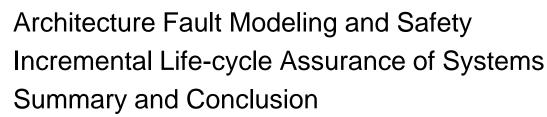
Error Propagation Ontology





Outline

Challenges in Safety-critical Software-intensive systems
An Architecture-centric Virtual Integration Strategy with SAE AADL
Improving the Quality of Requirements



AADL Error Model Scope and Purpose

System safety process uses many individual methods and analyses, e.g.

hazard analysis

failure modes and effects analysis

fault trees

Markov processes

System Capture hazards

Subsystem Capture risk mitigation architecture

Goal: a general facility for modeling fault/error/failure behaviors that can be used for several modeling and analysis activities.

Component) Capture FMEA model

Annotated architecture model permits checking for consistency and completeness between these various declarations.

Related analyses are also useful for other purposes, e.g.

- maintainability
- availability
- Integrity
- Security

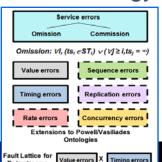
SAE ARP 4761 Guidelines and Methods for Conducting the Safety Assessment Process on Civil Airborne Systems and Equipment Demonstrated in SAVI Wheel Braking System Example

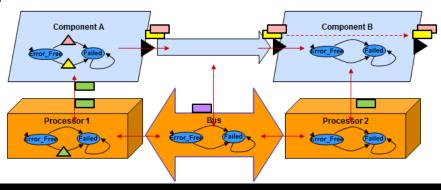
Error Model Annex can be adapted to other ADLs

Error Model V2: Abstraction and Refinement

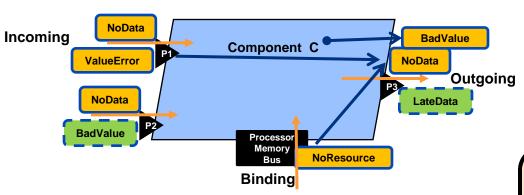
Four levels of abstraction:

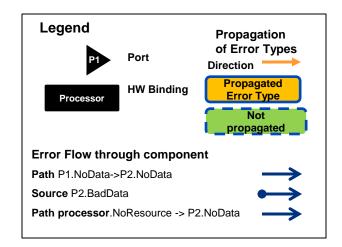
- Focus on fault interaction with other components
 - Probabilistic error sources, sinks, paths and transformations
 - Fault propagation and Transformation Calculus (FPTC) from York U.
- Focus on fault behavior of components
 - Probabilistic typed error events, error states, propagations
 - Voting logic, error detection, recovery, repair
- Focus on fault behavior in terms of subcomponent fault behaviors
 - Composite error behavior state logic maps states of parts into (abstracted) states of composite
- Types of malfunctions and propagations
 - Common fault ontology





Error Propagation Contracts





"Not" on propagated indicates that this error type is intended to be contained.

This allows us to determine whether propagation specification is complete.

Incoming/Assumed

- Error Propagation
 Propagated errors
- Error Containment:
 Errors not propagated

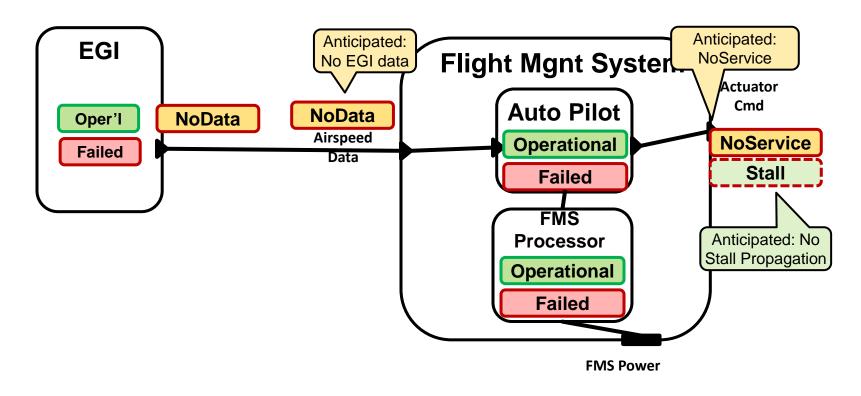
Outgoing/Contract

- Error Propagation
- Error Containment

Bound resources

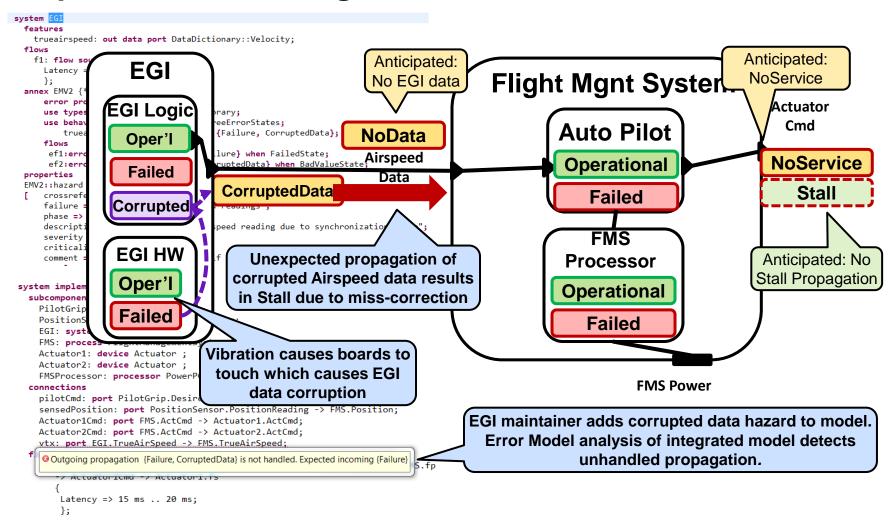
- Error Propagation
- Error Containment
- Propagation to resource

Original Preliminary System Safety Analysis (PSSA)



System engineering activity with focus on failing components.

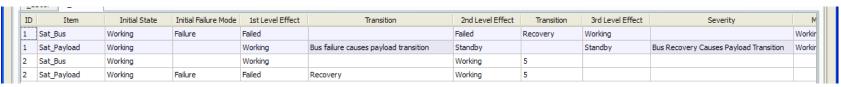
Discovery of Unexpected PSSA Hazard through Repeated Virtual Integration



Recent Automated FMEA Experience

Failure Modes and Effects Analyses are rigorous and comprehensive reliability and safety design evaluations

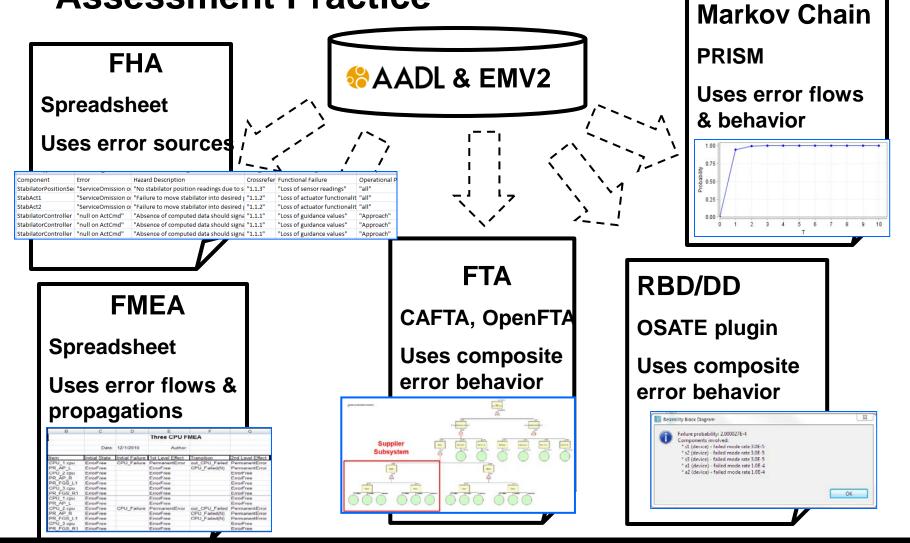
- Required by industry standards and Government policies
- When performed manually are usually done once due to cost and schedule
- If automated allows for
 - multiple iterations from conceptual to detailed design
 - Tradeoff studies and evaluation of alternatives
 - Early identification of potential problems



Largest analysis of satellite to date consists of 26,000 failure modes

- Includes detailed model of satellite bus
- 20 states perform failure mode
- Longest failure mode sequences have 25 transitions (i.e., 25 effects)

Myron Hecht, Aerospace Corp. Safety Analysis for JPL, member of DO-178C committee Support of SAE ARP4761 System Safety **Assessment Practice**



The Symptom: Missed Stepper Motor Steps

Stepper motor (SM) controls a valve

- Commanded to achieve a specified valve position
 - Fixed position range mapped into units of SM steps
- New target positions can arrive at any time
 - SM immediately responds to the new desired position

Safety hazard due to software design

- Execution time variation results in missed steps
- Leads to misaligned stepper motor position and control system states
- Sensor feedback not granular enough to detect individual step misses

Software modeled and verified in SCADE

Full reliance on SCADE of SM & all functionality

Problems with missing steps not detected

Software tests did not discover the issue

Time sensitive systems are hard to test for.

Two Customer Proposed Solutions

Sending of data at 12ms offset from dispatch

Buffering of command by SM interface

No analytical confidence that the problem will be addressed

Other Challenge Problems

Aircraft wheel braking system

Engine control power up

Situational Awareness & health monitoring

Outline

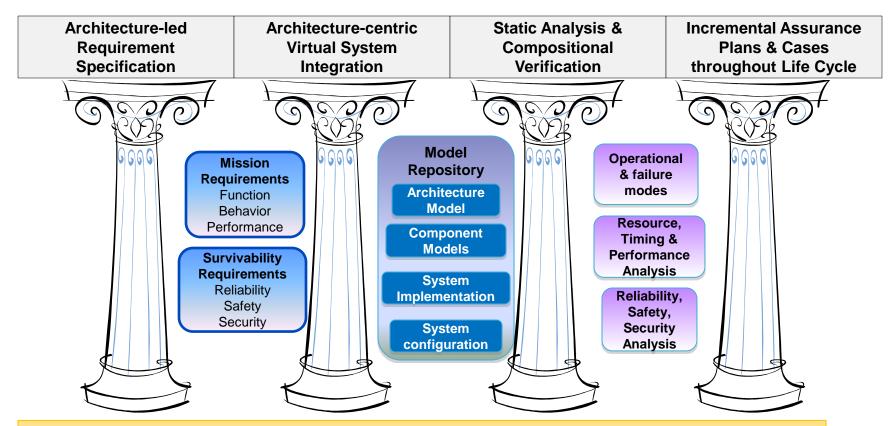
Challenges in Safety-critical Software-intensive systems
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Architecture Fault Modeling and Safety

Incremental Life-cycle Assurance of Systems
Summary and Conclusion

Reliability & Qualification Improvement Strategy

2010 SEI Study for AMRDEC Aviation Engineering Directorate





Four pillars for Improving Quality of Critical Software-reliant Systems

Contract-based Compositional Verification

Secure Mathematically-Assured Composition of Control Models

Key Problem TA4 - Research Integration and Formal Methods Workbench Many vulnerabilities occur at component interfaces. Rockwell Collins and How can we use formal methods to detect these University of Minnesota vulnerabilities and build provably secure systems? ARCHITECTURE-CENTRIC PROOF 16 months into the project Formal System Draper Labs could not hack into the system in 6 weeks Contracts Control System Architectu Components System Design Verification and ompositional Verifica Had access to source code Synthesis and Synthesis Verified Components Vehicle

Technical Approach

Open Source Vehicle

 Develop a complete, formal architecture model for UAVs that provides robustness against cyber attack

Military Vehicle

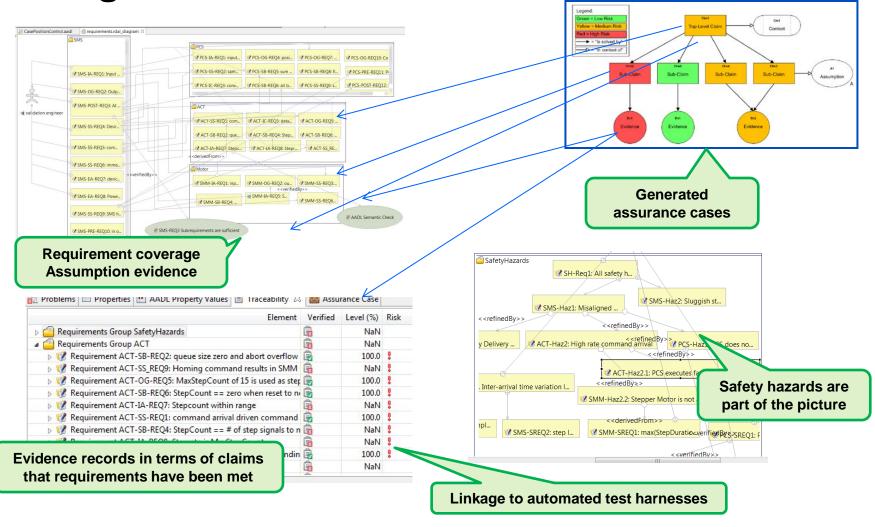
- Develop compositional verification tools driven from the architecture model for combining formal evidence from multiple sources, components, and subsystems
- Develop synthesis tools to generate flight software for UAVs directly from the architecture model, verified components, and verified operation system

Accomplishments

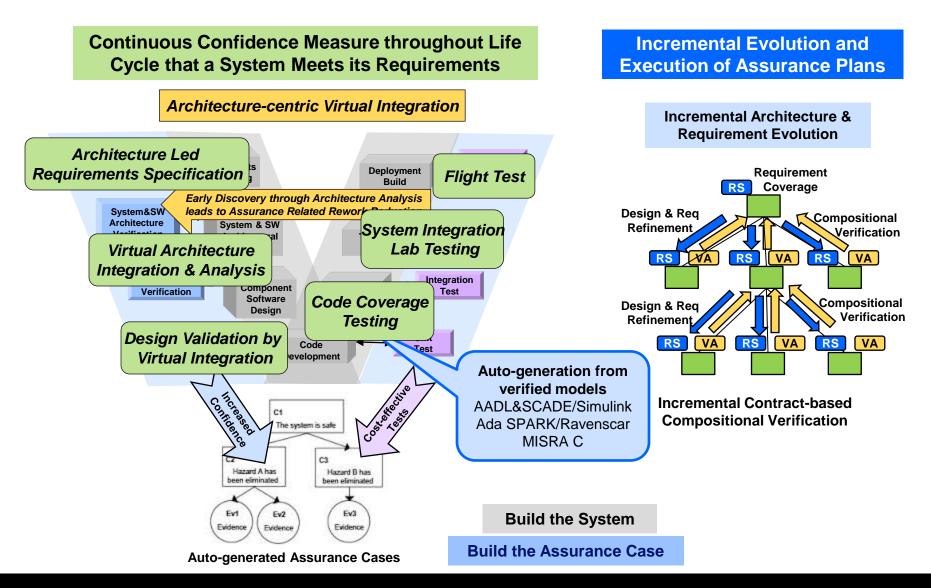
- Created AADL model of vehicle hardware & software architecture
- Identified system-level requirements to be verified based on input from Red Team evaluations
- Developed Resolute analysis tool for capturing and evaluating assurance case arguments linked to AADL model
- Developed example assurance cases for two security requirements
- Developed synthesis tool for auto-generation of configuration data and glue code for OS and platform hardware



Integrated Approach to Requirement V&V through Assurance Automation



Building the Assurance Case throughout the Life Cycle



Outline

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Benefits of Architecture-centric Engineering

Reduce risks

- Analyze system early and throughout life cycle
- Understand system wide impact
- Validate assumptions across system

Increase confidence

- Validate models to complement integration testing
- Validate model assumptions in operational system
- Evolve system models in increasing fidelity

Reduce cost

- Fewer system integration problems
- Fewer validation steps through use of validated generators

References

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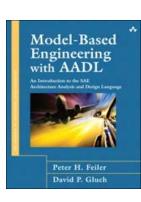
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http://blog.sei.cmu.edu/post.cfm/improving-safety-critical-systems-with-a-reliability-validation-improvement-framework

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15 Years of the SAE AS-2C AADL Committee 10 Years since the first publication of the SAE AADL standard And many more ©

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